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ELECTRON GUN FOR A MULTIPLE BEAM KLYSTRON USING MAGNETIC  
FOCUSING

Field of the Invention

The present invention relates to linear beam electron  
devices, and more particularly, to an electron gun that  
provides multiple convergent electron beamlets suitable for  
use in a multiple beam klystron using confined flow  
magnetic focusing.

Background of the Invention

Linear beam electron devices are used in sophisticated  
communication and radar systems that require amplification  
of a radio frequency (RF) or microwave electromagnetic  
signal. A conventional klystron is an example of a linear  
beam electron device used as a microwave amplifier. In a  
klystron, an electron beam is formed by applying a voltage  
potential between a cathode emitting electrons and an anode  
accelerating these emitted electrons such that the cathode  
is at a more negative voltage with respect to the anode.

1 The electrons originating at the cathode of an electron gun  
2 are thereafter caused to propagate through a drift tube,  
3 also called a beam tunnel, comprising an equipotential  
4 surface, thereby eliminating the accelerating force of the  
5 applied DC voltage. The drift tube includes a number of  
6 gaps that define resonant cavities of the klystron. The  
7 electron beam is velocity modulated by an RF input signal  
8 introduced into the first resonant cavity. The velocity  
9 modulation of the electron beam results in electron  
10 bunching due to electrons that have had their velocity  
11 increased gradually overtaking those that have been slowed.  
12 Velocity modulation in the gain section of the tube leads  
13 to bunching, i.e. the transformation of the electron beam  
14 from continuously flowing charges to discrete clumps of  
15 charges moving at the velocity imparted by the beam  
16 voltage. The beam bunches arrive at the bunching cavity,  
17 sometimes called the penultimate cavity, where they induce  
18 a fairly high RF potential. This potential acts back on the  
19 beam, and serves to tighten the bunch. When the bunches  
20 arrive at the output cavity they encounter an even higher  
21 rf potential, comparable to the beam voltage, which  
22 decelerates them and causes them to give up their kinetic  
23 energy. This is converted to electromagnetic energy and is  
24 conducted to a load. The tighter the bunching, the higher

1 the efficiency. However, a high degree of space charge  
2 concentration interferes with the bunching process and the  
3 efficiency. Other things being equal, the higher the  
4 perveance of a klystron, the lower the efficiency.

5 The effect of perveance on the gain of a klystron is  
6 different. Although the gain is affected by space charge,  
7 it is a stronger function of the total current, which is  
8 proportional to the perveance. This suggest that if a beam  
9 cross-section were made larger, so that the current density  
10 and space charge are reduced, both gain and efficiency  
11 would benefit. However, such is not the case because a  
12 large beam requires a large drift tube, and the electric  
13 fields which couple the beam to the circuit fall off across  
14 the beam, leading to poor coupling and a drop in both gain  
15 and efficiency. A small beam is therefore necessary, but if  
16 the power output required is high, the voltage, rather than  
17 the current in the beam must be increased for reasonable  
18 efficiency.

19 Bandwidth is inversely proportional to the loaded  $Q_s$   
20 of the klystron cavities. In the gain section of the tube,  
21 where cavities are stagger-tuned, the cavity  $Q_s$  are loaded  
22 by the beam. The higher the current, the higher the  
23 loading, and consequently the lower the  $Q$ . It does not  
24 matter if a single beam or several beams are traversing the

1 cavity. The output cavity, in particular, must by itself  
2 have a bandwidth at least equal to the desired bandwidth of  
3 the klystron. For the output cavity to produce good  
4 efficiency, this bandwidth becomes proportional to the beam  
5 conductance. However this leads to higher perveances, and  
6 hence lower efficiency. Consequently, in a single beam  
7 klystron the efficiency/bandwidth product is approximately  
8 constant.

9       Given the preceding relationships, the advantage of  
10 the multiple beam klystron provides is clear. The current  
11 is divided into several beams, each with a low space  
12 charge, so that it can be bunched tightly in a small drift  
13 tube with good coupling coefficient, and hence high  
14 efficiency. The gain-bandwidth product is not constant, but  
15 increases with the addition of beams. For the same power  
16 and gain, the multiple beam klystron is shorter than a  
17 conventional klystron.

18       Despite the potential advantages of multiple beam  
19 klystrons, such devices have only been adapted for certain  
20 low power or low frequency applications in which a  
21 convergent electron beam is not necessary. In these  
22 nonconvergent devices, electron beam focusing is provided  
23 by immersing the electron gun and drift tubes in a strong  
24 magnetic field which guides the electrons along the

1 magnetic flux lines to the drift tubes. In a nonconvergent  
2 electron gun, the diameter of the emitting surface is the  
3  
4 same as the electron beam that propagates through the RF  
5 device. The nonconvergent electron beams of this class of  
6 device have limited current density, which prevent them  
7 from developing more power at higher frequencies. The  
8 amount of current that can be emitted from the cathode is  
9 dependent on the size of the emitting surface and the  
10 maximum electron emission density that can be provided by  
11 the surface. Maximum electron emission densities from  
12 typical cathodes operating in the space charge limited  
13 regime are on the order of 10-20 amps/cm<sup>2</sup>.

14 In a convergent electron gun, the cathode diameter  
15 exceeds the diameter of the final electron beam, which  
16 means that more current can be provided. The current gain  
17 is proportional to the area compression factor of the gun,  
18 which is the ratio of the cathode area to the cross  
19 sectional area of the final electron beam. Typical  
20 compression factors are 5-20.

21 Electron beams used for linear RF devices typically  
22 employ one of two types of magnetic focusing, which act in  
23 addition to the initial electrostatic focusing of a Pierce  
24 electron gun, whereby a stream of emitted electrons is

1 initially focused to a region of minimum beam diameter.  
2 The first type of magnetic focusing is Brillouin focusing,  
3 where the magnitude of the magnetic field in the circuit  
4 section of the device precisely balances the space charge  
5 repulsion forces within the static beam. An embodiment of  
6 such a device is shown in Figure 1. Electrostatic focusing  
7 is used to guide the electron beam from the cathode  
8 emitting surface to a point within the anode beam tunnel. A  
9 minimum diameter is achieved, and if a counteracting  
10 magnetic field were not applied, the beam would begin to  
11 diverge due to space charge forces. In Brillouin  
12 magnetically focused devices, an axial magnetic field is  
13 imposed at the location of the minimum diameter that  
14 balances the space charge forces and facilitates transport  
15 of the beam through the device.

16       Unfortunately, the balance between the space charge  
17 force tending to expand the beam and the magnetic force  
18 tending to confine the beam is no longer equal when  
19 electrostatic bunching of electrons occurs, as is required  
20 to transform beam power into RF power. Consequently, the  
21 beam will expand in regions of high electron density,  
22 eventually resulting in impact of electrons with the walls  
23 of the beam tunnel. This can result in destruction of the  
24 device unless the power deposited is limited. Therefore,

1 Brillouin focused devices are limited in the average RF  
2 power and pulse lengths that can be generated.

3       The alternative is to use convergent, confined flow  
4 focusing, as shown in Figure 2. With confined flow  
5 focusing, the magnetic field encompasses the cathode  
6 regions of the device where the electron beam is generated.  
7 A combination of magnetic and electrostatic focusing is  
8 used to guide the electron beam from the cathode into the  
9 beam tunnel. With confined flow focusing, the magnetic  
10 field can be higher than is required for balancing the  
11 space charge forces in the static beam. In typical devices,  
12 the magnetic field is 2-3 times the Brillouin value. With  
13 confined flow focusing, the convergent electron beam will  
14 be contained as it traverses the beam tunnel, even in the  
15 presence of electron bunching as used to generate RF power.  
16 Consequently, confined flow focused devices are capable of  
17 high average power operation.

18       In typical single beam devices, the magnetic field is  
19 generated from a solenoid or permanent magnet symmetrically  
20 located with respect to the electron beam, which produces a  
21 magnetic field that is radially symmetric about the  
22 electron beam, which is typically located on the main axis  
23 of the device. This radially symmetric field is necessary  
24 for the electron beam to follow its non-divergent axial

1 path. The magnitude and shape of the field in the cathode-  
2 anode region is controlled using an iron enclosure around  
3 the main solenoid or permanent magnet with an aperture  
4 through end plates perpendicular to the device axis,  
5 allowing field penetration into the cathode-anode region.  
6 Auxiliary coils or permanent magnets may also be used in  
7 the cathode-anode region to control the shape and magnitude  
8 of the field.

9 While this works well for single beam devices having a  
10 beam tunnel symmetrically located with respect to the  
11 magnetic field axis, problems occur for electron guns where  
12 the cathode-anode region is radially displaced from the  
13 device axis. A radial gradient, or shear, in the magnetic  
14 field in the cathode-anode region distorts the magnetic  
15 focusing, preventing operation of the device. In order to  
16 realize a multiple beam device, it is necessary for most  
17 cathode-anode structures to be radially displaced from the  
18 device axis.

19 In light of these limitations, the need for a high  
20 power, multiple beam klystrons with confined flow focusing  
21 for use with high frequency RF sources is clear.

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3                   Related Art

4           A device described by Symons [5,932,972] provides for  
5 a convergent multiple beam gun having a single cathode, a  
6 first plurality of conductive grids, a second plurality of  
7 drift tubes further containing resonant gaps, and an anode.  
8 The first plurality of conductive grids are spaced between  
9 the cathode and drift tubes, and contain apertures in  
10 locations such that electron beamlets are formed and  
11 defined by electrons traveling from the cathode, through  
12 the apertures in each of the grids, and into the drift  
13 tubes. Each of the grids has these apertures in  
14 substantial registration with each other and with  
15 respective openings of the plurality of drift tubes.

16           Symons relies on a plurality of grids to shape the  
17 electric potentials to focus the individual beamlets into  
18 the respective drift tunnels. In one embodiment of the  
19 invention, four separate grids are required to provide the  
20 necessary electric field configuration. Ceramic insulators  
21 providing a portion of the vacuum envelope of the device  
22 must electrically isolate each grid. In addition, a  
23 separate voltage is required for each grid.

1       The device described by Symons does not provide for  
2 confined flow focusing, as it can be seen that no magnetic  
3 focusing field is applied, and beam focusing is performed  
4 entirely by electrostatic potentials applied to the many  
5 grids. Consequently, the beam will not be fully confined in  
6 the presence of space charge bunching, limiting the average  
7 and peak power capability of the device. Further, the  
8 device described by Symons applies only to fundamental mode  
9 cavities, which limits the frequency at which this  
10 technique can be applied.

11       As the RF frequency increases, the available space for  
12 multiple beams through a fundamental mode cavity decreases  
13 in proportion to the increase in frequency. Consequently,  
14 the number of beams that can propagate through a  
15 fundamental mode cavity becomes limited by mechanical and  
16 thermal constraints. An alternative is to use a ring  
17 resonator circuit as described by Bohlen (U.S. Patent No.  
18 4,508,992). With a ring resonator circuit, the number of  
19 beamlets is not strictly limited by frequency  
20 considerations. Bohlen describes a microwave amplifier  
21 having an annular cathode, an annular ring resonator for  
22 the introduction of RF energy, an annular ring resonator  
23 for the removal of RF energy, and an annular collector, all  
24 of which are operating in the presence of a magnetic field.

1 This structure enables reduced current densities and the  
2 application and collection of RF energy over a large  
3 physical area. A disadvantage of this structure is that  
4 the annular beam tunnels can allow transmission of higher  
5 order cavity modes back toward the electron gun. These  
6 modes can lead to undesired bunching of the electron beam  
7 and prevent operation at the desired frequency and power.  
8 Consequently, the gain of this device is limited to less  
9 than 25, and the output power level is limited to a few  
10 megawatts.

11 A multiple beam device using periodic permanent magnet  
12 focusing was described by Caryotakis et al (European patent  
13 WO 97/38436). This device uses periodic permanent magnet  
14 (ppm) focusing. PPM focusing uses an array of permanent  
15 magnets with alternating magnetic orientations to produce a  
16 focusing magnetic field. The focusing field produced by PPM  
17 focusing is axial, as in solenoidal focusing, but  
18 alternates direction, unlike solenoidal focusing. PPM  
19 focusing has been used for years for beam focusing in  
20 traveling wave tubes. The focusing described by Caryotakis  
21 only applies to beam confinement within the body or circuit  
22 section of the device and is not applicable to the electron  
23 gun region. Further it requires a series of cylindrical  
24 permanent magnets around each individual beam tunnel. Since

1 these magnets can not tolerate high temperatures, they must  
2 be applied after construction of the vacuum envelope of the  
3 rf device. High power operation of rf devices requires  
4 processing in ovens operated at 400-500 degrees C in order  
5 to obtain sufficient vacuum for operation. Consequently,  
6 each beam tunnel must contain its own individual vacuum  
7 envelope to provide access for the PPM magnets.

8 Since the device proposed by Caryotakis does not  
9 address the magnetic focusing in the electron gun, the  
10 present invention could be adapted to work in conjunction  
11 with the device described by Caryotakis.

#### 12 13 Summary of the Invention 14

15 In view of the limitations of the prior art, the  
16 present invention provides for an RF device having  
17 convergent multiple beams for use in high frequency, high  
18 power RF generators, such as multiple beam klystrons or  
19 inductive output tubes (IOT). This device has a plurality  
20 of drift tubes for the transport of multiple convergent  
21 beamlets in a rectilinear flow. Each drift tube carries an  
22 electron beam formed by an individual electron gun, and a  
23 plurality of these electron guns is arranged in a circular  
24 ring, with each electron gun providing a beam for use by an

1 associated drift tube. Each electron gun has a cathode, an  
2 electrostatic focusing electrode and anode structure. The  
3 path of the confined flow of electrons from each electron  
4 gun through the drift tubes of the device forms a beam  
5 tunnel, and each separate gun has its own separate beam  
6 tunnel. Gaps between drift tubes form resonant cavities  
7 for the introduction and removal of RF power and for  
8 increased bunching of the electron beam. The RF power  
9 introduced into an input port of the device operates on  
10 each individual beamlet traveling through each individual  
11 beam tunnel, and RF power extracted at the output port is  
12 summed by the RF output structure. In the context of the  
13 present device, a high power composite electron beam is  
14 formed which comprises the contribution of each individual  
15 beamlet, so the output power of the device is limited only  
16 by the number of beamlets that are contributing to the RF  
17 output port. While the beamlets formed by each electron gun  
18 travel through separate beam tunnels, the anode structure  
19 and cathode structure for each gun may be separate, or it  
20 may be shared.

21 In one embodiment of the invention, the beam tunnels  
22 for each electron beam include drift tubes having a first  
23 resonant cavity defined by a first gap provided in the  
24 plurality of drift tubes, and a second resonant cavity

1 defined by a second gap provided in the plurality of drift  
2 tubes. An electromagnetic signal is coupled into an RF  
3 input port to the first resonant cavity, which velocity  
4 modulates the beamlets traveling in the plurality of drift  
5 tubes. The velocity modulated beamlets then induce an  
6 electromagnetic signal into the second resonant cavity,  
7 which may then be extracted from the device RF output port  
8 as a high power microwave signal. Other resonant cavities  
9 may also be applied between the first and final resonant  
10 cavity to increase the gain, bandwidth and efficieny of the  
11 device. A collector is disposed at respective ends of the  
12 plurality of drift tubes, which collects the remaining  
13 energy of the beamlets after passing across the various  
14 cavities. A magnetic field oriented coaxially to the beam  
15 tunnel is furnished to provide confined flow of the  
16 electron beam.

#### 17 18 Objects of the Invention

19 A first object of the invention is a multiple beam  
20 device for the amplification of Rf power having a plurality  
21 of electron beam tunnels, each said tunnel carrying an  
22 electron beam formed by an electron gun. The multiple beam  
23 device consists of the following elements:

1 a plurality of drift tubes, the drift tubes separated  
2 to form a plurality of gaps associated with resonant  
3 cavities, including a first gap for the introduction of RF  
4 energy through an RF input port, and a final gap for the  
5 removal of RF energy through an RF output port,  
6 an anode for the acceleration of electrons,  
7 a magnetic field generator producing a radially  
8 symmetric field along a common axis defined by the beam  
9 tunnels,

10 and a plurality of magnetic field correctors for  
11 producing a magnetic field which is radially symmetric  
12 through each individual beam tunnel.

13 A second object of the invention is a multiple beam  
14 device having a plurality  $n$  of electron guns, each electron  
15 gun providing an electron beam traveling through an  
16 electron beam tunnel between a cathode and a beam  
17 collector, a common magnetic field applied to the beams of  
18 all  $n$  electron guns, individual magnetic field correctors  
19 applied to each individual gun, an RF input port, and an RF  
20 output port.

21 A third object of the invention is a multiple beam  
22 device having an input RF port and an output RF port common  
23 to all electron beamlets.

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3                    Brief Description Of The Drawings

4            Figure 1 is a schematic of a prior art Brillouin  
5 focused electron gun.

6            Figure 2 is a schematic of a prior art confined flow  
7 electron gun.

8            Figure 3 is a section view of a prior art single beam  
9 klystron with a magnetic circuit.

10           Figure 4 is a section view of a multiple beam klystron  
11 showing individual electron guns creating a multiplicity of  
12 beamlets. Also shown is the magnetic circuit for focusing  
13 of the individual convergent multiple beams.

14           Figure 4-1, detail shows the detail of a beam tunnel  
15 having drift tubes and resonant gaps.

16           Figures 4a through 4c is are sections a-a, b-b, and c-  
17 c through figure 4.

18           Figure 5 is a section view of the electron gun shown  
19 in Figure 4.

20           Figure 6a is a three dimensional view of the magnetic  
21 circuit of Figure 4 showing an electromagnetic coil and  
22 shaped iron structure in the gun region for reducing radial  
23 and azimuthal asymmetries at the cathode locations.



1        Figure 6b is the cross section of the uncorrected  
2        magnetic field and the envelope of the electron beam  
3        produced by an uncorrected off-axis electron beam of figure  
4        6a.

5        Figure 6c is the cross section of the corrected  
6        magnetic field and the envelope of the electron beam  
7        produced by the configuration of figure 6a.

8        Figure 7 is an alternate embodiment of the  
9        configuration of Fig. 6a with an auxiliary electromagnet or  
10       permanent magnet surrounding the plurality of cathodes.

11       Figure 8 is an alternate embodiment of the  
12       configuration of figure 6a with an auxiliary permanent  
13       magnets surrounding the plurality of cathodes and a  
14       permanent magnet interior to the plurality of cathodes.

15       Figure 9 is the device of figure 4 where permanent  
16       magnets are used in place of electromagnets.

17       Figure 10 is the device of figure 4 including  
18       additional magnetic material surrounding the plurality of  
19       cathodes to provide additional field correction.

20

21                    Detailed Description Of The Invention

22       Figure 1 shows a prior art Brillouin focused electron  
23       gun. A cathode 10 provides a flow of electrons 12 past an  
24       anode 16 at a positive voltage with respect to the cathode

1 to a distant collector 20. In a Pierce gun, focus  
2 electrode 14 shapes the electron beam to a region of  
3 minimum beam diameter 18. Without a magnetic field, the  
4 self-charge of the electron beam causes beam spreading due  
5 to the space charge effect as shown in the trajectory 22.  
6 In Brillouin focusing, a magnetic field 24 is added which  
7 is coaxial to the beam 12, and of sufficient magnitude to  
8 cancel the space charge spreading, which results in the  
9 constant width beam 26, as shown. This magnetic field 24  
10 may be provided through the introduction of electromagnetic  
11 coils or permanent magnet material and magnetic pole piece  
12 28.

13 Figure 2 shows a prior art confined flow electron gun.  
14 As before, a Pierce gun comprising cathode 10 and focus  
15 electrode 14 produces an electron beam 12, which converges  
16 to a region of minimum diameter 18, after passing anode  
17 16b. The coaxial magnetic flux field 24b is provided that  
18 is allowed to pass through the polepiece 28 and extend to  
19 the cathode, which provides a confined flow of electrons to  
20 the distant collector 20. The extension of the magnetic  
21 flux field to the cathode allows for an increase in the  
22 magnetic field greater than that necessary for precisely  
23 balancing the space charge forces in the unbunched beam.

1        Figure 3 shows a prior art single beam klystron tube  
2    90. Electron gun 100 provides a beam of initially focused  
3    electrons 92, which travel through a beam tunnel 93 to  
4    collector 120. The beam tunnel 93 is enclosed by  
5    electromagnet 130, which produces a coaxial magnetic flux  
6    field with flux lines parallel to the beam axis 91 and beam  
7    tunnel 93 within the iron enclosure 140. An RF input port  
8    94 couples incoming RF energy to a resonant cavity 96,  
9    which velocity modulates the beam 110. A second resonant  
10   cavity 98 provides additional modulation, and a third  
11   cavity 103 enables the removal of RF energy through RF  
12   output port 114.

13        Figure 4 shows the present invention, which provides a  
14   convergent multiple beam klystron <sup>141</sup>~~140~~ having a plurality of  
15   high current electron beams to permit construction of a  
16   multiple beam RF device of high power and high frequency.  
17   While the development of symmetric fields for radially  
18   symmetric devices is simplified by the intrinsic symmetry  
19   of the magnetic structures, this is not the case for  
20   multiple gun, off-axis designs such as the present  
21   invention of figure 4. As known in the art, conventional  
22   electron guns are designed using advanced computational  
23   tools to model the electrostatic potential, magnet flux  
24   contours, and electron trajectories. Examples of these

1 codes include Maxwell 2D and Beam Optics Analysis (BOA)  
2 from Ansoft Corporation, the three dimensional finite  
3 difference program MAFIA, and the beam trajectory code  
4 XGUN. These tools were used to model the present invention  
5 to insure that laminar electrons beams were generated  
6 suitable for a klystron or IOT RF circuit. It is clear to  
7 one skilled in the art that magnetic field design tools of  
8 this type are required for the optimization of specific  
9 structures for use in shaping a magnetic field in the  
10 present art of designing confining flow magnetic fields for  
11 use in electron beam devices. For the present invention,  
12 Maxwell 2D and MAFIA were used to design a magnetic  
13 configuration where lines of magnetic flux intersect each  
14 cathode perpendicular to the emitting surface with  
15 sufficient magnitude to guide the electrons through the  
16 cathode-anode region into the center of each beamlet's  
17 respective beam tunnel. Maxwell 2D was also used to design  
18 the electrostatic geometry providing equipotential contours  
19 consistent with the desired operation. BOA and XGUN were  
20 used to model electron trajectories through the cathode-  
21 anode region to insure that the desired performance was  
22 achieved.

23 Figures 4a, 4b, and 4c show cross section views of the  
24 present invention, and may be examined in conjunction with

1 corresponding sections a-a, b-b, and c-c of figure 4. A  
2 plurality n of electron guns 230a, 230b,...230n is arranged  
3 circularly around a central axis Z 150. A reference plane  
4 R is perpendicular to the axis Z 150, and is used in the  
5 illustrations for section a-a, b-b, and c-c. Figures 4a-c  
6 show a cross section view of a device where <sup>n=8</sup> ~~n=7~~. Each  
7 electron gun 230a..n is arranged circularly around the  
8 central axis Z and produces a beamlet which initially  
9 focuses to a minimum diameter 106a..n, as described earlier  
10 in figure 2. As is clear to one skilled in the art, other  
11 non-circular and irregular inter-gun spacings can be used,  
12 but the regular spacings and circular arrangement is shown  
13 for clarity in the drawings. Each beamlet from each  
14 electron gun 230a..n travels through its own beam tunnel  
15 156a..n along a beam tunnel axis 152a..n to a collector  
16 112a..n. Each beamlet travels in its respective beam  
17 tunnel 152a..g which has a conductive inner surface 173,  
18 and the beam tunnel comprises drift tubes 133, 135, 137,  
19 and 139, and a series of resonant cavities 172, 174, 176  
20 formed by drift tube gaps, and shown in figure 4-1 detail.  
21 These cavities are for the introduction of RF power,  
22 additional modulation of the electron beamlets, and the  
23 extraction of RF power, as before. The coaxial magnetic  
24 flux field generator 131 comprises a coil wound around the

a  
1 axis 150, which produces a generally uniform flux field  
2 aligned with the central axis 150, as before. The  
3 resonators are shown as 172, 174, 176 comprise the annular  
4 ring resonators described, for example, in U.S. Patent No.  
5 4,508,992 by Bohlen et al (items 1 and 2), incorporated  
6 herein by reference. A key feature of the embodiment shown  
7 in Fig. 4 is the presence of an iron structure 170 and  
8 electromagnetic coil or permanent magnet 180, located along  
9 the centerline of the device and positioned at the  
10 approximate location of the individual cathodes 102. The  
11 iron structure 170 and magnet 180 provide compensation for  
12 the radial asymmetry of the magnetic field at the location  
13 of the individual cathodes 102, as will be described later.

Fig 4  
14 Figures 4a-c shows the sections a-a, b-b, and c-c,  
15 which include beam tunnels 156a..n, and the inner surface  
16 173 and outer surface 171 of resonators 174.

17 Fig. 5 shows the key elements of the individual  
18 electron guns which include a thermionic emitting surface  
19 102, focus electrode 104, cathode heater 106, heat shields  
20 108, insulating ceramic 192, vacuum pumpout 194, and  
21 insulating ceramic 195 for the heater wire feedthrough 190.

22 In the present invention as described in figures 6  
23 through 10, magnetic circuits are disclosed which provide  
24 for individual focusing of each beamlet to insure optimum

1 beam transport through the RF device. The magnetic circuits  
2 include a series of electromagnet coils or permanent  
3 magnets that provide the magnetic field and appropriately  
4 placed magnetic iron structures to shape the field as  
5 required by each beamlet. In particular, magnetic iron is  
6 incorporated near each individual cathode to bend the  
7 magnetic field lines so that they are everywhere  
8 perpendicular to the emitting surface as required for  
9 laminar electron flow. Magnetic iron is incorporated  
10 around the main magnet coils or permanent magnets to  
11 provide for proper flux leakage into the cathode-anode  
12 region and to guide the electron beamlets through the  
13 circuit of the RF device.

14 For some high frequency and high power applications it  
15 may be convenient to employ a klystron using ring resonator  
16 cavities. Ring resonator cavities allow for location of the  
17 electron beamlets at a larger radius from the device axis  
18 than is possible with simple fundamental mode cavities.

sub  
CB } 19 An embodiment of the magnetic circuit for the device  
20 of Fig. 4 is shown in Fig. 6a. A shell of magnetic iron 140  
21 encloses magnetic coils 130 that generate the main magnetic  
22 field for the RF device. As is clear to one skilled in the  
23 art, it would be possible to substitute a self-magnetic  
24 structure such as a permanent magnet for the coil 130 with

1 appropriate modifications to iron structure 140. Apertures  
2 210 are placed in the end walls of the shell 140 to allow  
3 passage of the electron beamlets and to allow magnetic flux  
4 to extend into the cathode-anode regions 106 of the  
5 electron guns to aid in beam focusing. An auxiliary  
6 electromagnet coil or permanent magnet 180 is located along  
7 the device centerline 220 and between the centerline and  
8 the individual electron guns 230. In addition magnetic  
9 material 170 is located along the device centerline 220 and  
10 between the electron guns 230 and the centerline 220. The  
11 magnetic iron 170 may include semicircular extensions 172  
12 extending partially around the centerline of each  
13 individual beamlet 217 to reduce azimuthal asymmetries in  
14 the magnetic field at the location of the individual  
15 cathodes 102.

Sub  
C6  
16 Figure 6b shows a section in the RZ coordinate system  
17 in the region between the magnetic polepiece end plate 140  
18 and the electron gun emitter 102 where no correction is  
19 made to the magnetic field using coil 180 or magnetic  
20 structure 170. The figure plots contours of constant  
21 magnetic field 342 emanating through aperture 210 and  
22 extending to cathode 102. Note the asymmetry about the  
23 cathode centerline 152 and the variation of magnetic field  
24 across the emitting surface 101 of the cathode 102.



1 Electrons emitted perpendicular to surface 101 will  
2 experience a magnetic field in which the direction of the  
3 magnetic field vector is different from the direction of  
4 electron motion, thereby imparting a transverse force on  
5 the electron that will prevent proper transmission through  
6 the RF device.

7 Figure 6c shows equipotential magnetic flux lines in  
8 the vicinity of the electron beam aperture 210 with  
9 auxiliary coil 180 and magnetic material 170. It can be  
10 seen that the equipotential magnetic flux lines 336 and the  
11 electron beam paths 340 are perpendicular. Thus the  
12 direction of electron motion is parallel to the magnetic  
13 force direction, eliminating magnetically induced forces  
14 perpendicular to the direction of electron motion, which  
15 causes the electron beam to experience confined flow with  
16 no trajectory divergence or beam spreading.

17 An alternate embodiment is shown in figure 7, where an  
18 additional field shaping electromagnet coil 232 is located  
19 about the centerline of the device 220 but at a distance  
20 from the centerline so as to surround the cathodes for the  
21 individual beamlets. As is clear to one skilled in the  
22 art, and shown in figure 8, permanent magnets 240 and 242  
23 could be substituted for coils 232 and 180 of figure 7 with  
24 no change in function. Field shaping electromagnet 232, or

1 180 or shaping magnet 240 or 242 would equivalently allow  
2 additional control of the magnetic field in the region of  
3 the electron beamlets. An alternate embodiment would  
4 include an iron shield partially enclosing coil 232 on the  
5 outer circumference and end to limit flux leakage into the  
6 environment and reduce the power required for  
7 electromagnetic coils or the field strength for permanent  
8 magnets. As is clear to one skilled in the art, there are  
9 many combinations of electromagnets or permanent magnets  
10 which could be used to satisfy the condition of creating a  
11 magnetic field which is perpendicular in gradient to the  
12 electron beam trajectory over all operating regions of the  
13 device.

14 Figure 9 shows the device of figure 4 wherein the iron  
15 140 and magnetic coils <sup>131</sup>~~130~~ are replaced by iron 250, 251,  
16 and permanent magnet 254. <sup>1</sup>, respectively

17 Figure 10 shows an alternate embodiment of the  
18 multiple beam device where additional magnetic material 260  
19 is incorporated at a larger radius than the electron guns  
20 230 and interior to outer magnetic coil or permanent magnet  
21 232. The magnetic material may contain specially shaped  
22 surfaces 264 to further correct the magnetic field for  
23 radial or azimuthal asymmetries in cooperation with coils  
24 232 and 180 and interior magnetic structure <sup>170</sup>~~172~~.

1       As shown in the alternative embodiments, the design  
2       conditions which produce a magnetic field for the confined  
3       flow of a plurality of radially positioned electron beams  
4       are numerous. Many alternative structures could be  
5       proposed which satisfy this condition, and the structures  
6       given are proposed only for illustration in understanding  
7       the present invention. The present RF device may operate  
8       as an amplifier, or as an oscillator, or in any way a  
9       single beam prior art device may operate. As vehicles for  
10      understanding the present invention, it is not intended  
11      that the scope of the invention is limited to only the  
12      structures shown. The breadth of the invention is  
13      established by the following claims:

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